

PLAN OF ACTION & MILESTONES

CIS Controls Self-Assessment

Client Company

Assessment Date: 4/28/2026

Assessor: Agent Smith

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Total Items: 68

Risk Summary:

Critical: 8




High: 31

Medium: 29

Instructions

1. Review each identified gap and its suggested remediation action.
2. Assign a Point of Contact (POC) responsible for remediation.
3. Estimate resources and costs required for each item.
4. Set realistic milestone dates and target completion dates.
5. Track progress and update status regularly.
6. Document completion evidence for each remediated item.

Priority Levels

-  **Critical**- Safeguard not implemented - Immediate action required
-  **High**- Initial implementation only - Address within 30 days
-  **Medium**- Partial implementation - Address within 60 days

Tier 1 (9 items)

POAM-CIS-001 Safeguard: 3.1 CRITICAL

Establish and Maintain a Data Management Process

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-002 Safeguard: 4.1 CRITICAL

Establish and Maintain a Secure Configuration Process

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-003 Safeguard: 4.2 CRITICAL

Establish and Maintain a Secure Configuration Process for Network Infrastructure

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-004 Safeguard: 11.1 CRITICAL

Establish and Maintain a Data Recovery Process

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-005

Safeguard: 15.1

CRITICAL

Establish and Maintain an Inventory of Service Providers

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-006

Safeguard: 2.1

HIGH

Establish and Maintain a Software Inventory

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-007

Safeguard: 6.2

HIGH

Establish an Access Revoking Process

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-008

Safeguard: 7.1

HIGH

Establish and Maintain a Vulnerability Management Process

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-009

Safeguard: 8.1

HIGH

Establish and Maintain an Audit Log Management Process

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 2 (1 item)

POAM-CIS-010

Safeguard: 11.3

HIGH

Protect Recovery Data

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 3 (8 items)

POAM-CIS-011

Safeguard: 3.2

CRITICAL

Establish and Maintain a Data Inventory

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-012

Safeguard: 10.3

CRITICAL

Disable Autorun and Autoplay for Removable Media

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-013

Safeguard: 14.5

CRITICAL

Train Workforce Members on Causes of Unintentional Data Exposure

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-014

Safeguard: 2.3

HIGH

Address Unauthorized Software

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-015

Safeguard: 4.3

HIGH

Configure Automatic Session Locking on Enterprise Assets

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-016

Safeguard: 5.3

HIGH

Disable Dormant Accounts

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-017

Safeguard: 14.4

HIGH

Train Workforce on Data Handling Best Practices

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-018

Safeguard: 14.6

HIGH

Train Workforce Members on Recognizing and Reporting Security Incidents

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 4 (9 items)

POAM-CIS-019

Safeguard: 3.4

HIGH

Enforce Data Retention

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-020

Safeguard: 3.5

HIGH

Securely Dispose of Data

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-021

Safeguard: 14.8

HIGH

Train Workforce on the Dangers of Connecting to and Transmitting Enterprise Data Over Insecure

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-022

Safeguard: 2.2

MEDIUM

Ensure Authorized Software is Currently Supported

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-023

Safeguard: 3.6

MEDIUM

Encrypt Data on End-User Devices

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-024

Safeguard: 7.4

MEDIUM

Perform Automated Application Patch Management

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-025

Safeguard: 8.3

MEDIUM

Ensure Adequate Audit Log Storage

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-026

Safeguard: 14.9

MEDIUM

Conduct Role-Specific Security Awareness and Skills Training

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-027

Safeguard: 17.1

MEDIUM

Designate Personnel to Manage Incident Handling

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 5 (12 items)

POAM-CIS-028

Safeguard: 3.7

HIGH

Establish and Maintain a Data Classification Scheme

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-029

Safeguard: 3.8

HIGH

Document Data Flows

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-030

Safeguard: 3.9

HIGH

Encrypt Data on Removable Media

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-031

Safeguard: 7.7

HIGH

Remediate Detected Vulnerabilities

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-032

Safeguard: 15.2

HIGH

Establish and Maintain a Service Provider Management Policy

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-033

Safeguard: 15.3

HIGH

Classify Service Providers

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-034

Safeguard: 4.8

MEDIUM

Uninstall or Disable Unnecessary Services on Enterprise Assets and Software

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-035

Safeguard: 15.4

MEDIUM

Ensure Service Provider Contracts Include Security Requirements

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-036

Safeguard: 17.4

MEDIUM

Establish and Maintain an Incident Response Process

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-037

Safeguard: 17.5

MEDIUM

Assign Key Roles and Responsibilities

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-038

Safeguard: 17.6

MEDIUM

Define Mechanisms for Communicating During Incident Response

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-039

Safeguard: 17.9

MEDIUM

Establish and Maintain Security Incident Thresholds

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 6 (7 items)

POAM-CIS-040

Safeguard: 3.11

HIGH

Encrypt Sensitive Data At Rest

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-041

Safeguard: 8.9

HIGH

Centralize Audit Logs

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-042

Safeguard: 7.5

MEDIUM

Perform Automated Vulnerability Scans of Internal Enterprise Assets

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-043

Safeguard: 10.4

MEDIUM

Configure Automatic Anti-Malware Scanning of Removable Media

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-044

Safeguard: 12.5

MEDIUM

Centralize Network Authentication, Authorization, and Auditing (AAA)

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-045

Safeguard: 13.1

MEDIUM

Centralize Security Event Alerting

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-046

Safeguard: 18.2

MEDIUM

Perform Periodic External Penetration Tests

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 7 (10 items)

POAM-CIS-047

Safeguard: 2.6

HIGH

Allowlist Authorized Libraries

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-048

Safeguard: 9.4

HIGH

Restrict Unnecessary or Unauthorized Browser and Email Client Extensions

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-049

Safeguard: 17.7

HIGH

Conduct Routine Incident Response Exercises

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-050

Safeguard: 1.4

MEDIUM

Use Dynamic Host Configuration Protocol (DHCP) Logging to Update Enterprise Asset Inventory

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-051

Safeguard: 8.8

MEDIUM

Collect Command-Line Audit Logs

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-052

Safeguard: 8.10

MEDIUM

Retain Audit Logs

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-053

Safeguard: 8.11

MEDIUM

Conduct Audit Log Reviews

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-054

Safeguard: 13.2

MEDIUM

Deploy a Host-Based Intrusion Detection Solution

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-055

Safeguard: 13.5

MEDIUM

Manage Access Control for Remote Assets

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-056

Safeguard: 17.8

MEDIUM

Conduct Post-Incident Reviews

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 8 (5 items)

POAM-CIS-057

Safeguard: 3.13

HIGH

Deploy a Data Loss Prevention Solution

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-058

Safeguard: 4.12

HIGH

Separate Enterprise Workspaces on Mobile End-User Devices

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-059

Safeguard: 8.12

HIGH

Collect Service Provider Logs

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-060

Safeguard: 15.5

MEDIUM

Assess Service Providers

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-061

Safeguard: 15.6

MEDIUM

Monitor Service Providers

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 9 (3 items)

POAM-CIS-062

Safeguard: 13.9

HIGH

Deploy Port-Level Access Control

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-063

Safeguard: 15.7

MEDIUM

Securely Decommission Service Providers

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-064

Safeguard: 18.5

MEDIUM

Perform Periodic Internal Penetration Tests

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____

Tier 10 (4 items)

POAM-CIS-065

Safeguard: 1.5

HIGH

Use a Passive Asset Discovery Tool

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-066

Safeguard: 2.7

HIGH

Allowlist Authorized Scripts

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-067

Safeguard: 3.14

HIGH

Log Sensitive Data Access

Status: Unscored (Level 1)

Remediation: Develop and document basic policies and procedures. Assign initial ownership.

POC: _____ Start: _____ Target: _____ Status: _____

POAM-CIS-068

Safeguard: 6.8

MEDIUM

Define and Maintain Role-Based Access Control

Status: Unscored (Level 2)

Remediation: Expand existing documentation. Implement technical controls. Conduct initial training.

POC: _____ Start: _____ Target: _____ Status: _____